

# QUASINORMAL MODES OF BLACK HOLES

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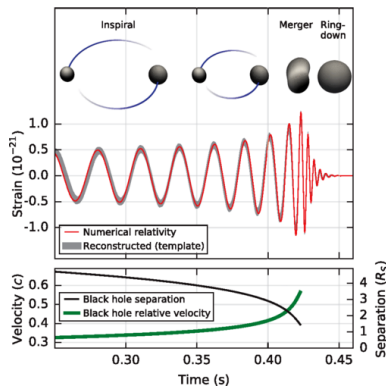
DFT, Bucharest, May 2023

*“...we may expect that any initial perturbation will, during its last stages, decay in a manner characteristic of the black hole itself and independent of the cause. In other words, we may expect that during these last stages, the black hole emits gravitational waves with frequencies and rates of damping that are characteristic of the black hole itself, in the manner of a bell sounding its last dying notes.”*

Chandrasekhar, 1982

# INTRODUCTION

- Gravitational wave astronomy is now firmly established, with many detections since 2015.
- Massive bodies in motion can excite gravitational waves
- The orbit of binary black hole systems decays over time
- After coalescence the new black hole ‘rings down’.
  - Wave consists of a discrete sum of damped harmonic terms
  - These “quasinormal frequencies” are characteristic of the black hole.



**FIGURE:** Waveform from a black hole coalescence [LIGO Scientific Collaboration and Virgo Collaboration Phys. Rev. Lett. **116**, 061102]

# INTRODUCTION

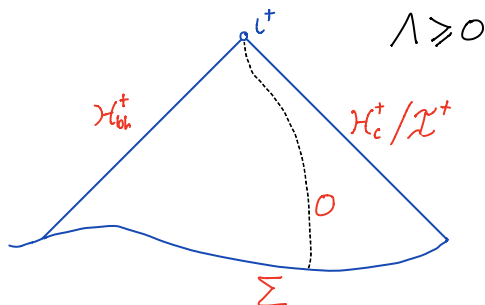
Event	Redshifted frequency [Hz]			Redshifted damping time [ms]		
	IMR	DS	pSEOBS	IMR	DS	pSEOBS
GW150914	$248^{+8}_{-7}$	$247^{+14}_{-16}$	—	$4.2^{+0.3}_{-0.2}$	$4.8^{+3.7}_{-1.9}$	—
GW170104	$287^{+15}_{-25}$	$228^{+71}_{-102}$	—	$3.5^{+0.4}_{-0.3}$	$3.6^{+36.2}_{-2.1}$	—
GW170814	$293^{+11}_{-14}$	$527^{+340}_{-332}$	—	$3.7^{+0.3}_{-0.2}$	$25.1^{+22.2}_{-19.0}$	—
GW170823	$197^{+17}_{-17}$	$222^{+664}_{-62}$	—	$5.5^{+1.0}_{-0.8}$	$13.4^{+31.8}_{-9.8}$	—
GW190408_181802	$319^{+11}_{-20}$	$504^{+470}_{-459}$	—	$3.2^{+0.3}_{-0.3}$	$10.0^{+32.5}_{-8.9}$	—
GW190421_213856	$162^{+13}_{-14}$	—	$171^{+50}_{-16}$	$6.3^{+1.2}_{-0.8}$	—	$8.5^{+5.3}_{-4.2}$
GW190503_185404	$190^{+17}_{-15}$	—	$265^{+501}_{-79}$	$5.3^{+0.8}_{-0.8}$	—	$3.5^{+3.4}_{-1.8}$
GW190512_180714	$382^{+32}_{-42}$	$220^{+686}_{-42}$	—	$2.6^{+0.2}_{-0.2}$	$26.1^{+21.3}_{-22.9}$	—
GW190513_205428	$242^{+25}_{-27}$	$250^{+493}_{-88}$	—	$4.3^{+1.2}_{-0.4}$	$5.3^{+19.2}_{-3.8}$	—
GW190519_153544	$127^{+10}_{-9}$	$123^{+11}_{-19}$	$124^{+12}_{-13}$	$9.7^{+1.7}_{-1.6}$	$9.7^{+9.0}_{-3.8}$	$10.3^{+3.6}_{-3.1}$
GW190521	$68^{+3}_{-4}$	$65^{+3}_{-3}$	$67^{+2}_{-2}$	$16.0^{+4.0}_{-2.5}$	$22.1^{+12.4}_{-7.4}$	$30.7^{+7.7}_{-7.4}$
GW190521_074359	$198^{+7}_{-8}$	$197^{+15}_{-15}$	$205^{+15}_{-12}$	$5.4^{+0.4}_{-0.4}$	$7.7^{+6.4}_{-3.3}$	$5.3^{+1.5}_{-1.2}$
GW190602_175927	$105^{+10}_{-9}$	$93^{+13}_{-22}$	$99^{+15}_{-15}$	$10.2^{+2.0}_{-1.5}$	$10.0^{+17.2}_{-4.5}$	$8.8^{+5.4}_{-3.6}$
GW190706_222641	$109^{+11}_{-10}$	$109^{+7}_{-12}$	$112^{+7}_{-8}$	$11.3^{+2.3}_{-2.3}$	$20.4^{+25.2}_{-12.9}$	$19.4^{+7.2}_{-8.9}$
GW190708_232457	$497^{+10}_{-46}$	$642^{+279}_{-596}$	—	$2.1^{+0.2}_{-0.1}$	$24.6^{+23.0}_{-22.6}$	—
GW190727_060333	$178^{+17}_{-16}$	$345^{+587}_{-267}$	$201^{+11}_{-21}$	$6.2^{+1.1}_{-0.8}$	$21.1^{+25.6}_{-17.9}$	$15.4^{+5.3}_{-6.1}$
GW190828_063405	$239^{+10}_{-11}$	$247^{+350}_{-15}$	—	$4.8^{+0.6}_{-0.5}$	$17.3^{+25.3}_{-10.4}$	—
GW190910_112807	$177^{+8}_{-8}$	$166^{+9}_{-8}$	$174^{+12}_{-8}$	$5.9^{+0.9}_{-0.5}$	$13.2^{+17.1}_{-6.2}$	$9.5^{+3.1}_{-2.7}$
GW190915_235702	$232^{+11}_{-18}$	$534^{+371}_{-493}$	—	$4.6^{+0.7}_{-0.6}$	$15.0^{+30.1}_{-13.1}$	—

**FIGURE:** Estimated ringdown parameters

[The LIGO Scientific Collaboration and the Virgo Collaboration—Tests of General Relativity with Binary Black Holes from the second LIGO–Virgo Gravitational-Wave Transient Catalog, 2010.14529]

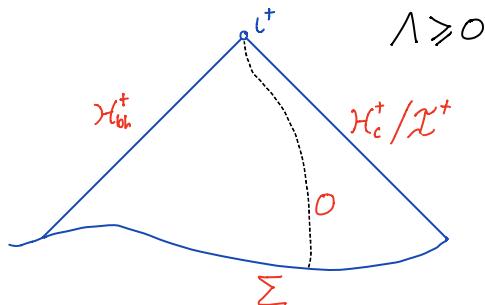
- Black holes settle down to equilibrium by producing radiation at fixed (complex) frequencies: *quasinormal ringdown*
- Frequencies are characteristic of the spacetime, carry geometric information
- Recently there has been a lot of work by mathematicians to understand this phenomenon
- Literature on quasinormal modes is vast: I will focus only on a portion
  - See 1910.08479 for a survey
- Restrict attention to  $\Lambda \geq 0$

# THE SET-UP OF THE PROBLEM



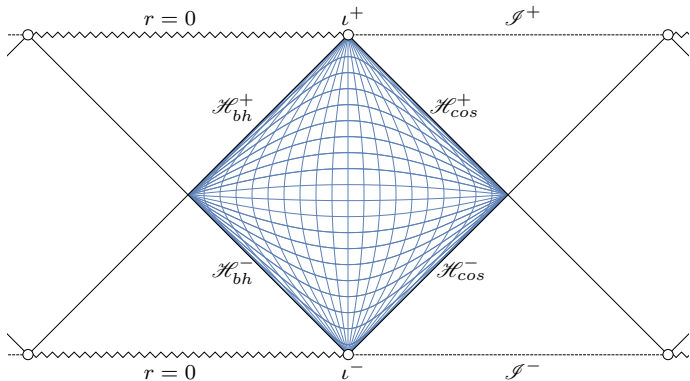
- Pose data on  $\Sigma$  and solve to the future. What does the observer  $O$  see at late times?
- Could consider:
  - Cauchy data for full vacuum Einstein equations
  - Cauchy data for linearised vacuum Einstein equations about BH
  - Cauchy data for wave/KG equation about BH

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  - Cauchy data for wave/KG equation about BH (eg Schwarzschild[–de Sitter],  $\Lambda \geq 0$ )

# SCHWARZSCHILD DE SITTER



$$g = - \left( 1 - \frac{2m}{r} - \frac{r^2}{l^2} \right) dt^2 + \frac{dr^2}{1 - \frac{2m}{r} - \frac{r^2}{l^2}} + r^2 d\Omega_{S^2}$$



- Separate variables:

$$\psi(t, r, \theta, \varphi) = e^{st} Y_{lm}(\theta, \varphi) R_{sl}(r)$$

satisfies  $\square\psi = 0$  iff:

$$-\frac{d^2}{dr_*^2} R_{sl} + [s^2 + V_l] R_{sl} = 0, \quad -\infty < r_* < \infty$$

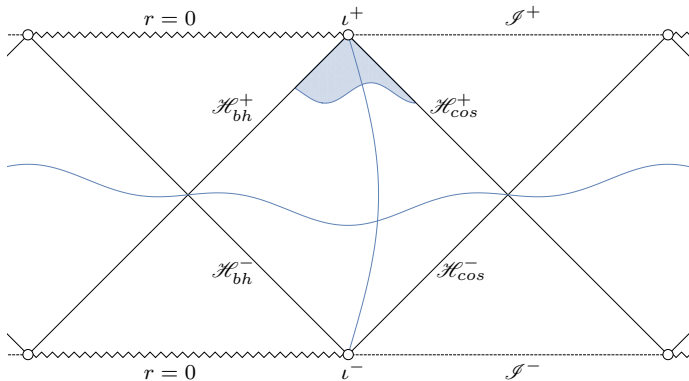
- The potential  $V_l$  decays exponentially as  $|r_*| \rightarrow \infty$ .
- Seek a solution satisfying *outgoing* boundary conditions:

$$R \sim \begin{cases} e^{-sr_*} & r_* \rightarrow \infty \\ e^{sr_*} & r_* \rightarrow -\infty \end{cases}$$

- Such solutions only occur for a discrete set of  $s \in \{\Re z \leq 0\}$ , the *quasinormal frequencies*.

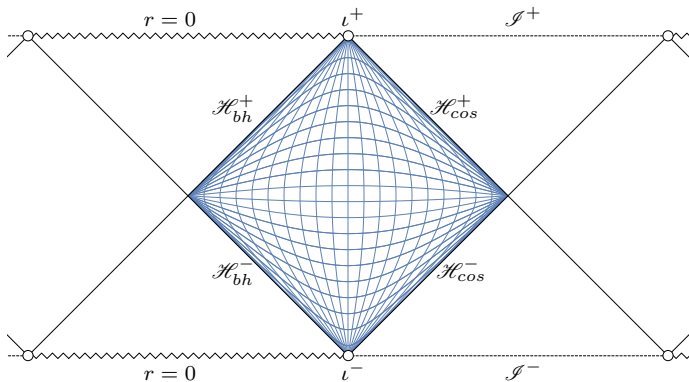
- Expect  $\psi$  at late times to decompose as a sum of quasinormal modes
- Difficult in practice to set exponentially decaying branch to zero:
  - “What does  $\sim$  mean”.
- Looks like an eigenvalue problem, but it isn't!
- Relies on separability of wave equation.
  - Not always possible, particularly for AdS black holes.
- Can make rigorous, but requires an analytic continuation argument!
  - Sá Baretto–Zworski, '97
  - Method of complex scaling / perfectly matched layers

# SCHWARZSCHILD DE SITTER



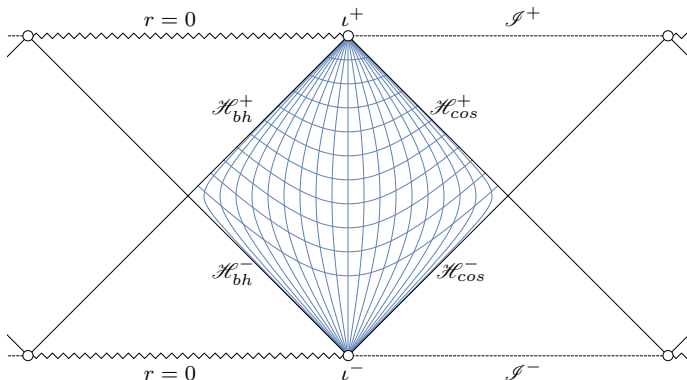
Region of interest

# SCHWARZSCHILD DE SITTER



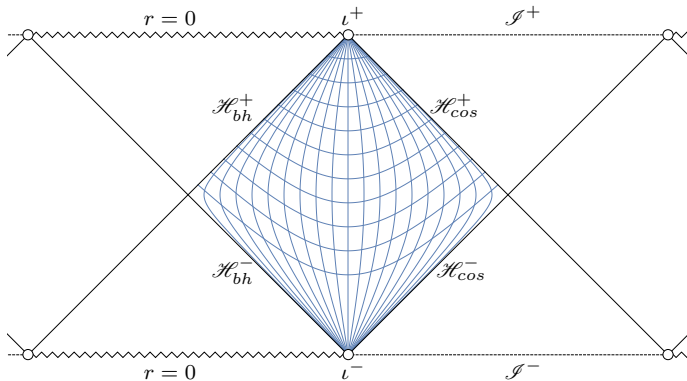
$$g = - \left( 1 - \frac{2m}{r} - \frac{\Lambda}{3} r^2 \right) dt^2 + \frac{dr^2}{1 - \frac{2m}{r} - \frac{\Lambda}{3} r^2} + r^2 d\Omega_{S^2}$$

# SCHWARZSCHILD DE SITTER



Pick a spacelike surface  $\Sigma_0$  and push forward by the timelike isometry to give a foliation  $\{\Sigma_\tau\}_{\tau \geq 0}$

# SCHWARZSCHILD DE SITTER



[cf B Schmidt, '93]

- Evolution under the wave equation gives a family of maps  $\mathcal{S}[\tau]$  acting on pairs of functions  $\psi_i : \Sigma \rightarrow \mathbb{C}$ :

$$\mathcal{S}[\tau](\psi_0, \psi_1) = (\psi, \partial_\tau \psi)|_{\Sigma_\tau}$$

where  $\psi$  is the solution of:

$$\square \psi = 0, \quad \psi|_{\Sigma_0} = \psi_0, \quad \partial_\tau \psi|_{\Sigma_0} = \psi_1.$$

- $\mathcal{S}[\tau]$  has the semigroup property:

$$\mathcal{S}[0] = Id, \quad \mathcal{S}[\tau_1 + \tau_2] = \mathcal{S}[\tau_1]\mathcal{S}[\tau_2], \quad \tau_1, \tau_2 \geq 0$$

- We say that the wave equation *propagates* a Hilbert space  $H$  if
  - $\mathcal{S}[\tau] : H \rightarrow H$
  - $\lim_{\tau \rightarrow 0} \|\mathcal{S}[\tau]x - x\| = 0$  for all  $x \in H$ .

- Suppose

$$H = \left\{ (\psi_0, \psi_1) : \Sigma \rightarrow \mathbb{C}^2 \left| \int_{\Sigma} \left( |\partial \psi_0|^2 + |\psi_0|^2 + |\psi_1|^2 \right) dS < \infty \right. \right\}$$

Then  $H$  is propagated by the wave/KG equation.

- Follows from energy estimates, together with an approximation argument.



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- In fact, for  $k \in \mathbb{N}$ :

$$H = H^k(\Sigma) = \left\{ (\psi_0, \psi_1) : \Sigma \rightarrow \mathbb{C}^2 \left| \int_{\Sigma} \left( \sum_{i \leq k} |\partial^i \psi_0|^2 + \sum_{i \leq k-1} |\partial^i \psi_1|^2 \right) dS < \infty \right. \right\}$$

is also propagated by the wave/KG equation.

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- $\mathcal{S}[\tau]$  defines a  $C^0$ -semigroup on  $H^k(\Sigma)$ , which can be written:

$$\mathcal{S}[\tau] = e^{\tau \mathcal{A}}$$

for an unbounded operator  $\mathcal{A}$  called the generator.

## THEOREM (VASY '10; W '13; GANNOT '16)

*For any non-extremal stationary de Sitter or anti de Sitter black hole,  $\mathcal{A}$  has a discrete pure point spectrum  $\Lambda_k$  in the half-plane  $\Re s > -\varkappa(k - \frac{1}{2})$ , where  $\varkappa$  is the surface gravity (minimal if more than one horizon). There are countably many eigenvalues, which do not accumulate at any point.*

- Get a representation formula for solutions:

$$\Psi(t) = \frac{1}{2\pi i} \int_{\gamma} e^{z\tau} (\mathcal{A} - z)^{-1} \Psi_0 dz$$

- No need to separate variables or perform analytic continuation
- Same methods immediately apply to Dirac, Maxwell, grav. perturbations etc.
- In the case of AdS black holes, boundary conditions are required at  $\mathcal{I}$ .
- Proof crucially makes use of *redshift effect* [Dafermos–Rodnianski '05]

## THEOREM (VASY '10; W '13; GANNOT '16)

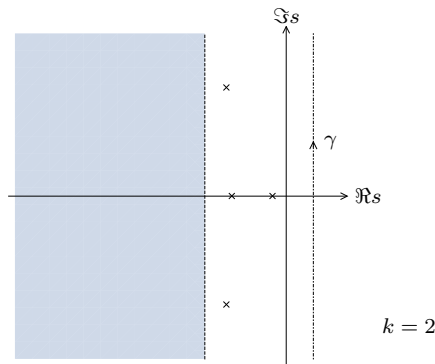
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- Every 'outgoing' quasinormal frequency corresponds to an eigenvalue of  $\mathcal{A}$  in  $\{\Re s > -\kappa(k - \frac{1}{2})\}$  for  $k$  large enough
- Not every eigenvalue of  $\mathcal{A}$  corresponds to an 'outgoing' quasinormal frequency
  - Bizoń, Chmaj, Mach '20
  - Hintz, Xie '21
- Additional frequencies correspond to modes that are only excited by non-trivial data at horizons
- $\mathcal{A}$  is *not* self adjoint.  $\mathcal{A}^\dagger$  has the same eigenvalues, but eigenvectors are 'co-modes'.

# SEMIGROUPS AND QNM

## THEOREM (VASY '10; W '13; GANNOT '16)

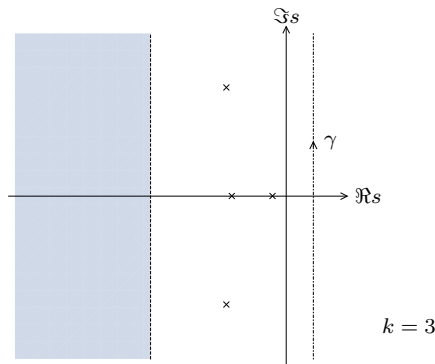
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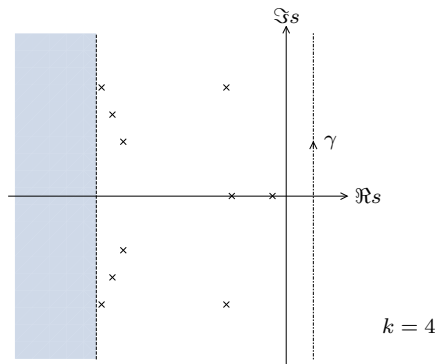
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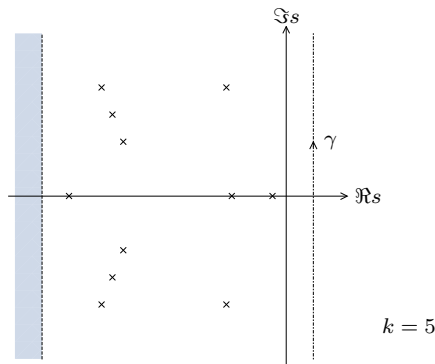
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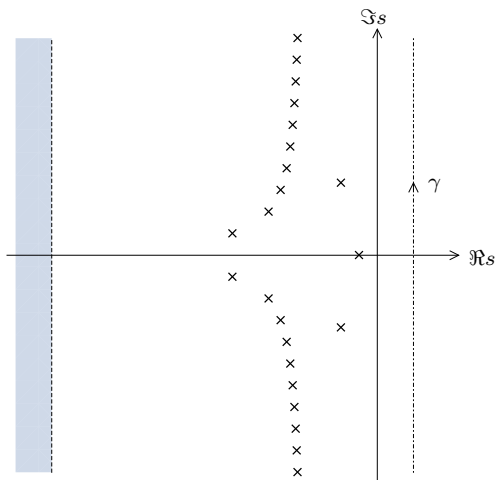


- We can try and shift the contour in the representation formula above.
- If this is possible, get an *asymptotic expansion*: for some  $a_i \in \mathbb{C}$

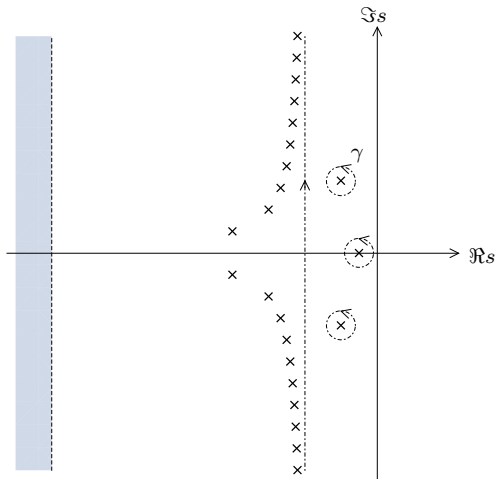
$$\psi(x, \tau) = \sum_{s_i \in \Lambda, \Re s_i > -\nu} a_i u_i(x) e^{s_i \tau} + \mathcal{O}(e^{-\nu \tau})$$

- Fundamental obstruction to shifting the contour: *trapped null geodesics*.
- Provided trapping is *normally hyperbolic* (e.g. photon sphere), can shift the contour. [Vasy '10; Dyatlov '14]
- Get a strip near imaginary axis containing only finitely many QNF

# SPECTRAL GAPS AND EXPANSIONS



# SPECTRAL GAPS AND EXPANSIONS



# INCOMPLETENESS OF THE QUASINORMAL SPECTRUM

- Since the spectrum is discrete, might hope that any (smooth) solution can be expanded in QNM:

$$\psi(x, \tau) \stackrel{?}{=} \sum_{i=1}^{\infty} a_i u_i(x) e^{s_i \tau}, \quad a_i \in \mathbb{C}$$

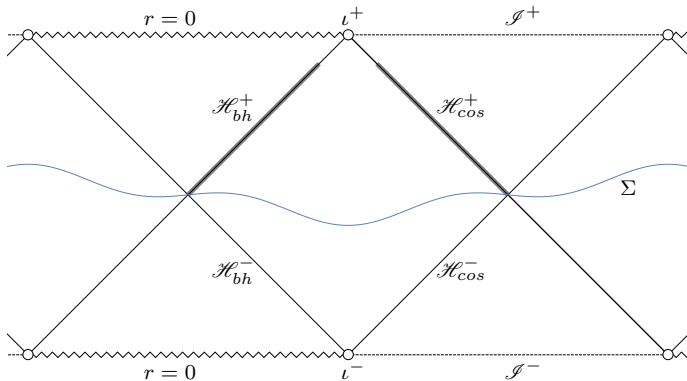
- This is *not* true.

## LEMMA

*On a black hole background, there exist non-trivial solutions of the wave equation which vanish in a neighbourhood of  $\iota^+$ .*

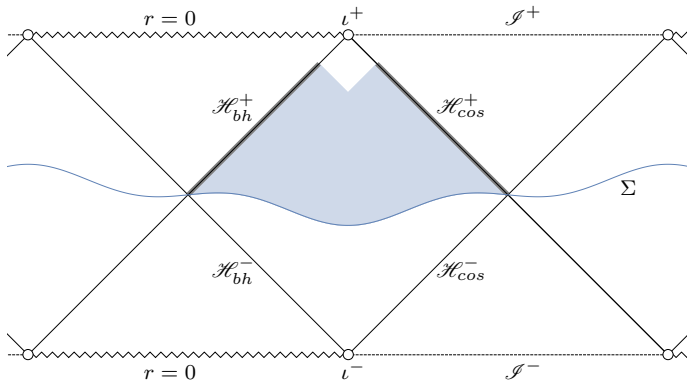
- Such a solution has a quasinormal expansion which is identically zero.
- Construction similar to backwards scattering construction of  
[Dafermos–Holzegel–Rodnianski '13; Dafermos–Rodnianski–Shlapentokh–Rothman '14]
- Set of such solutions is infinite dimensional.

# INCOMPLETENESS OF THE QUASINORMAL SPECTRUM



Specify data on  $\mathcal{H}_{bh}^+ \cup \mathcal{H}_{cos}^+$ , vanishing near  $\iota^+$ .  
Solve wave equation backwards

# INCOMPLETENESS OF THE QUASINORMAL SPECTRUM



Solution induces data on  $\Sigma$  which evolve into a solution vanishing near  $\iota^+$ .

# WHAT DO WE KNOW ABOUT DISTRIBUTION OF QNFs?

- For many black holes, we can understand the high-frequency distribution ( $|\Im s| \gg 0$ ) of QNFs
  - Key feature: geometry of ‘trapped’ photon orbits [Vasy ‘10, Dyatlov ‘14]
  - Can use WKB methods
- For near extremal black holes, or small black holes, a sequence of ‘zero-damped modes’ emerges [Hintz-Xie ‘21, Joykuty ‘21]

# CONCLUSION

- For sub-extremal black holes with  $\Lambda \neq 0$ , quasinormal modes can be defined in a mathematically satisfactory way as solutions of an eigenvalue problem
- Quasinormal modes do not form a complete basis
- We understand various aspects of the distribution of quasinormal frequencies