

# Mathematical and physical aspects of field theories with defects

(joint w. Nils Carqueville and Gregor Schumann)

↪ TFT (mostly in 3d) on stratified manifolds and appl.

## 1) TFTs and ribbon graphs

The Jones polynomial      (link)  $\xrightarrow{\vee}$  (Laurent poly in  $t^{\frac{1}{2}}$ )

e.g.  $V(\text{circle}) = 1$

$$V(\text{link}) = t^{-1} + t^{-3} - t^{-4}$$

Defining property  $t^{-1}V(\text{unknot}) - tV(\text{unknot}) = (t^{\frac{1}{2}} - t^{-\frac{1}{2}}) V(\text{torus})$

"Cut out 3-ball"

$$t^{-1} \text{ (link with 3-ball)} - t \text{ (link without 3-ball)} = (t^{\frac{1}{2}} - t^{-\frac{1}{2}}) \text{ (link with 3-sphere)}$$

Use 3d TFT to make sense of this

Bord<sub>n</sub> : obj n-1 dim. compact closed oriented mf U, V,..  
morph n dim mf. with param. bord

$$U \xrightarrow{M} V : \overline{U} \cup V \xrightarrow{\sim} \partial M$$

up to diffeo.

$$\begin{aligned}
 & V(\text{unknot}) = V(\text{circle}) + (t^{\frac{1}{2}} - t^{-\frac{1}{2}}) V(\text{torus}) \\
 & t^{-1} \text{ (unknot)} - t \text{ (circle)} = (t^{\frac{1}{2}} - t^{-\frac{1}{2}}) \text{ (torus)} \\
 & \approx \infty - \frac{t^{\frac{1}{2}} - t^{-\frac{1}{2}}}{t^{\frac{1}{2}}} = -(t^{\frac{1}{2}} - t^{-\frac{1}{2}}) \\
 & -t \cdot (\text{unknot}) = t^{-1} \text{ (unknot)} - (t^{\frac{1}{2}} - t^{-\frac{1}{2}}) \cdot (\text{torus}) \\
 & \approx (\text{unknot}) - \frac{(t^{\frac{1}{2}} - t^{-\frac{1}{2}})(t^{\frac{1}{2}} - t^{-\frac{1}{2}})}{t^{\frac{1}{2}}} = t^{\frac{1}{2}} + t^{-\frac{1}{2}} - t^{\frac{1}{2}} - t^{-\frac{1}{2}} \\
 & (\text{unknot}) = -t^{\frac{1}{2}} + t^{-\frac{1}{2}}
 \end{aligned}$$

Def (Atiyah '88)

In  $n$ -dim topological quantum field theory is a symmetric monoidal functor  $Z: \text{Bord}_n \rightarrow \text{Vec}_k$

$$\begin{array}{ccc} & \nearrow & \\ & Z(u \sqcup v) \cong Z(u) \otimes Z(v) & \text{& dito for bord.} \\ \text{bord} & \mapsto & \text{flip } Z(u) \otimes Z(v) \rightarrow Z(v) \otimes Z(u) \\ \text{bord} & \searrow & \end{array}$$

functor:  $W \xleftarrow{N} V \xleftarrow{M} U$

$$Z(N \circ M) = Z(N) \circ Z(M)$$

$\uparrow$   $\uparrow$   
glue along  $V$  compose C-in-maps

long:  $Z: n$ -dim TFT,  $U \in \text{Bord}_n$ . Then  $Z(U)$  is fin. dim.

pf-sketch:

$$\begin{array}{ccc} \text{cyl} & \simeq & \text{bord} \\ U \times [0,1] & & \end{array}$$

apply  $Z$ ,  $Z(U)$  has non-deg. pairing & capairing.  $\square$

Main examples in 3d : Reshetikhin-Turaev const. '91, '94

Input: modular tensor cat.  $\mathcal{C}$

- e.g. •  $H$  : fin-dim quasi-triang. Hopf alg., which is
  - s.si
  - ribbon
  - factorisable ( $R_2, R$  non-deg)

take  $\mathcal{C} = \text{Rep } H$ .

- segm of vertex op. alg.

simple objects  $U_1, \dots, U_N$

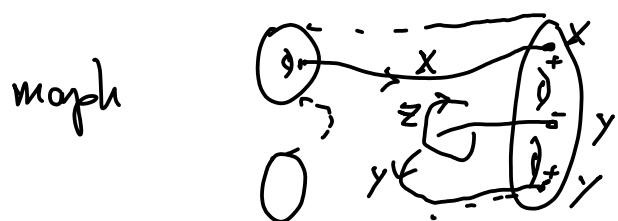
Output  $Z_{\mathcal{C}} : \text{Bord}_3 \longrightarrow \text{Vec}_k$

$$\text{e.g. } S^2 \mapsto k$$

$$T \mapsto \text{v.sp. of dim } = n$$

but: can decorate obj by pts and bord by 1-dim submf.

$Z_{\mathcal{C}} : \text{Bord}_3(\mathcal{C}) \longrightarrow \text{Vec}_k$



$\hookrightarrow$  invariants of "tangles" <sup>(\*)</sup> in 3d bordisms <sup>(\*\*)</sup>

(\*) framed tangles  "ribbons"

(\*\*) extra data (gluing anomaly)

$$\text{E.g. } Z_{\mathbb{C}}(S^2, (u_+)(u_+)(u_-)(u_-)) \leftarrow \text{dual obj}$$

$$= \text{Hom}_{\mathbb{C}}(1, u \otimes u \otimes u^* \otimes u^*)$$

For fund. rep<sup>n</sup>  $U = V_2$  of  $U_q sl_2$  :  $\uparrow$  is 2-dim.  
Thus

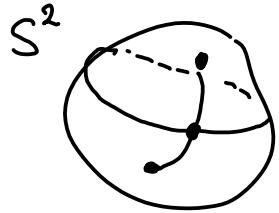
$Z_{\mathbb{C}}$  of  ,  ,  is lin. dep. in

Q: include also coloured surfaces?

## 2) TFTs on stratified mfds

stratified mf:

$$M = F_n \supset F_{n-1} \supset \dots \supset F_0 \quad \text{filtration}$$



$M_i := F_i \setminus F_{i-1}$  :  $i$ -dim submf, conn. comp.  $M_i^\alpha$   
 for  $i < j$ : either  $M_i^\alpha \cap \overline{M_j^\beta} = \emptyset$  or  $M_i^\alpha \subset \overline{M_j^\beta}$

$\text{Bord}_n^{\text{shat}}(D_n, \dots, D_0)$       morph: as in Bord + stratified,  
 $\underbrace{D_n, \dots, D_0}_{\text{label sets}}$       in-strata oriented, labeled  
 (skip: compat. cond.)      obj: dito, but in-strata labelled  
 by el. of  $D_i$   
 by  $D_{i+1}$

Eg in RT above

$$D_3 = \{ \cdot \circ \cdot \}, \quad D_2 = \emptyset, \quad D_1 = \{ \text{obj of } \mathcal{C} \}, \quad D_0 = \emptyset$$

(skip: framing)

Extend RT to surfaces: Carqueville - Schaumann - IR '17  
 Kapustin - Saulina '10, Fuchs - Valentino - Schweigert '12

$$D_2 = \{ \text{1-separable Frobenius algebras in } \mathcal{C} \}$$

$$\begin{array}{ccc} \uparrow & \uparrow & \uparrow \\ \mu \circ \Delta = \text{id}_A & \text{Coalg.} & A \in \mathcal{C}, \mu: A \otimes A \rightarrow A, \\ & \Delta: A \rightarrow A \otimes A, \varepsilon: A \rightarrow 1 & \eta: 1 \rightarrow A \\ & & \text{s.t. ...} \end{array}$$

and  $\Delta$  is a  $A$ -bimodule hom.

E.g.  $1\mathbb{1}$  is  $\uparrow$ , surface labelled by  $1\mathbb{1}$  can be omitted.

Then There is an extension of RT-TFT  $\mathcal{Z}_\mathcal{C}$  to a TFT on

$$\text{Bord}_3^{\text{strat}} \quad (D_3 = \{*\}, D_2 = \{\Delta\text{-sep}\}, D_1 = \{\text{obj of } \mathcal{C}\}, D_0 = \emptyset)$$

Comments

1)  $\exists$  examples which distinguish 3 amb.  $S^1 \times S^1 \rightarrow S^1 \times S^1 \times S^1$

2) Only Morita-cl. of algebras relevant.

Difficult : classify Morita-cl. of L-sep. F.a. in  $\mathcal{C}$

$\uparrow$   
classify  $\mathcal{C}$ -module categories  $\mathcal{M}$