

# Invariants of non-QRT mappings and rational elliptic surfaces of higher index

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- Here we address the problem of finding invariants from the study of singularities.

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- Generalities on Halphen surfaces.
- Classification on mappings
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## Generalities on resolution of singularities, integrability

The systems under consideration have the rational reversible form:

$$(x, y) \in \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow (\bar{x}, \bar{y}) \in \mathbb{P}^1 \times \mathbb{P}^1$$

$$\bar{x} = F(x, y)$$

$$\bar{y} = G(x, y)$$

and also the inverse ( $F, G, \Phi, \Gamma$  are rational functions of  $x, y$ )

$$\underline{x} = \Phi(x, y)$$

$$\underline{y} = \Gamma(x, y)$$

The projective space  $\mathbb{P}^1 \times \mathbb{P}^1$  is generated by the following coordinate systems ( $X = 1/x$ ,  $Y = 1/y$ ):

$$\mathbb{P}^1 \times \mathbb{P}^1 = (x, y) \cup (X, y) \cup (x, Y) \cup (X, Y)$$

In order to make the resolution of singularities we have to check first the nonedeterminate points for the direct and inverse mappings in any of the coordinate branches of  $\mathbb{P}^1 \times \mathbb{P}^1$

Blow-up at  $(x, y) = (a, b) \in \mathbb{C}^2$  by the following procedure

$$\{(x, y) : x, y \in \mathbb{C}\} \xleftarrow{\pi_{(a,b)}} \{(x - a, y - b; \zeta_1 : \zeta_2) \mid x, y, \zeta_1, \zeta_2 \in \mathbb{C}, |\zeta_1| + |\zeta_2| \neq 0, (x - a)\zeta_2 = (y - b)\zeta_1\}$$

given by

$$(x, y) \xleftarrow{\pi_{(a,b)}} (x - a, \underbrace{\frac{y - b}{x - a} \cup \left(\frac{x - a}{y - b}, y - b\right)}_{\mathbb{P}^1\text{-line}}) \equiv$$

After the blowing ups projective space is transformed into a surface  $X$  and the mapping is lifted to a birational mapping

$$\varphi : X \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$$

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## IST "philosophy"

- check if  $\varphi : X \rightarrow X$  is free from singularities. If no, then do another series of blow ups and so on, until we get finally a new final surface  $S$  and the final mapping  $\varphi : S \rightarrow S$  without any singularity.
- from the nonlinear mapping we go to the induced bundle mapping  $\varphi_* : \text{Pic}(S) \rightarrow \text{Pic}(S)$  whose action on the Picard group is linear.
- in the  $\text{Pic}(S)$  where the dynamics is linear one can find invariants, type of surface, and Weyl group (as the orthogonal complement of the surface Dynkin diagram)
- back to the nonlinear world, by computing the real invariants as proper transforms of the those found above
- integrability = Weyl group of affine type
- linearisability = infinite number of blow ups, analytical stability, ruled surface  $S$

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## Generalized Halphen surfaces and classification of the mappings

**Rational elliptic surface:** A complex surface  $X$  is called a rational elliptic surface if there exists a fibration given by the morphism:  $\pi : X \rightarrow \mathbb{P}^1$  such that:

- for all but finitely many points  $k \in \mathbb{P}^1$  the fibre  $\pi^{-1}(k)$  is an elliptic curve
- $\pi$  is not birational to the projection :  $E \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  for any curve  $E$
- no fibers contains exceptional curves of first kind.

**Generalized Halphen surface:** A rational surface  $X$  is called a *generalized Halphen surface* if the anticanonical divisor class  $-K_X$  is decomposed into effective divisors as  $[-K_X] = D = \sum m_i D_i (m_i \geq 1)$  such that  $D_i \cdot K_X = 0$  Generalized Halphen surfaces can be obtained from  $\mathbb{P}^2$  by successive 9 blow-ups. They can be classified by  $D$  in elliptic, multiplicative and additive type.

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- a rational surface  $X$  is called a *Halphen surface of index  $m$*  if the dimension of the linear system  $| - kK_X | = 0, k = \overline{1, m-1}$  and  $| - kK_X | = 1, k = m$ . A Halphen surface of index  $m$  is also referred to be a rational elliptic surface of index  $m$ .
- the linear system  $| - kK_X |$  is the set of curves in  $\mathbb{P}^2$  (resp.  $\mathbb{P}^1 \times \mathbb{P}^1$ ) of degree  $3k$  (resp.  $4k$ ) passing through each point of blow-up with multiplicity  $k$ . It is known that any Halphen surface of index  $m$  contains a unique cubic curve with multiplicity  $m$



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The main result of our talk is the following classification:

Let  $X$  be a rational elliptic surface obtained from 9 blow-ups of  $\mathbb{P}^2$  (resp. 8 blow ups of  $\mathbb{P}^1 \times \mathbb{P}^1$ ) and let there be  $\phi : X \rightarrow X$  an automorphism of  $X$  preserving the elliptic fibration  $\alpha f_0(x, y, z) + \beta g_0(x, y, z) = 0$  with  $(\alpha : \beta) \in \mathbb{P}^1$ . Then  $\phi$  falls in one of the following classes:

- (i-m)  $\phi$  preserves  $(\alpha : \beta)$  and the degree of fibers is  $3m$  (resp.  $(2m, 2m)$ )
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## Examples:

First we start with a mapping which preserves elliptic fibration of degree (2, 2) but exchanges the fibers.

$$x_{n+1} = -x_{n-1} \frac{(x_n - a)(x_n - 1/a)}{(x_n + a)(x_n + 1/a)} \quad (1)$$

$$\bar{x} = y$$

$$\bar{y} = -x \frac{(y - a)(y - 1/a)}{(y + a)(y + 1/a)} \quad (2)$$

Indeterminate points for  $\phi$  and  $\phi^{-1}$ :

$$P_1 : (x, y) = (0, -a), \quad P_2 : (x, y) = (0, -1/a),$$

$$P_3 : (X, y) = (0, a), \quad P_4 : (X, y) = (0, 1/a),$$

$$P_5 : (x, y) = (a, 0), \quad P_6 : (x, y) = (1/a, 0),$$

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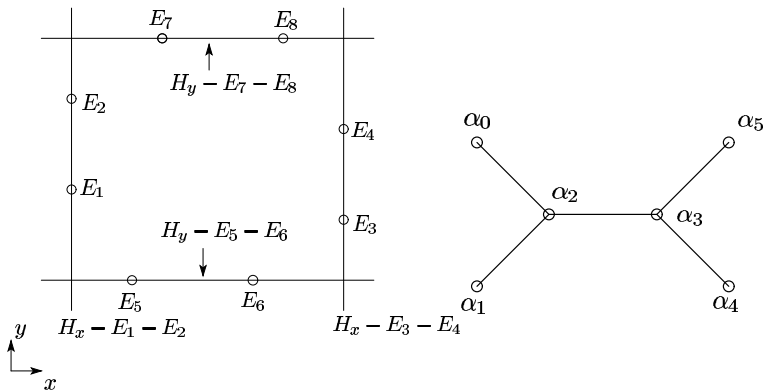


Figure: Space of initial conditions and orthogonal complement



The Picard group of  $X$  is a  $\mathbf{Z}$ -module

$$\text{Pic}(X) = \mathbb{Z} H_x \oplus \mathbb{Z} H_y \oplus \bigoplus_{i=1}^8 \mathbb{Z} E_i,$$

$H_x, H_y$  are the total transforms of the lines  $x = \text{const.}, y = \text{const.}$   
 $E_i$  are the total transforms of the eight blowing up points.

The intersection form:

$$H_z \cdot H_w = 1 - \delta_{zw}, \quad E_i \cdot E_j = -\delta_{ij}, \quad H_z \cdot E_k = 0$$

for  $z, w = x, y$ . Anti-canonical divisor of  $X$ :

$$-K_X = 2H_x + 2H_y - \sum_{i=1}^8 E_i.$$

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If  $A = h_0 H_x + h_1 H_y + \sum_{i=1}^8 e_i E_i$  is an element of the Picard lattice ( $h_i, e_j \in \mathbf{Z}$ ) the induced bundle mapping is acting on it as

$$\phi_*(h_0, h_1, e_1, \dots, e_8) = (h_0, h_1, e_1, \dots, e_8) \begin{pmatrix} 2 & 1 & 0 & 0 & 0 & 0 & -1 & -1 & -1 & -1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

It preserves the decomposition of  $-K_X = \sum_{i=0}^3 D_i$ :

$$D_0 = H_x - E_1 - E_2, \quad D_1 = H_y - E_5 - E_6$$

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there are many elliptic curves corresponding to the this anti-canonical class (these curves pass through all  $E_i$  for any  $k$ ).

$$F \equiv \alpha xy - \beta((x^2 + 1)(y^2 + 1) + (a + 1/a)(y - x)(xy + 1)) = 0$$
$$\Leftrightarrow kxy - ((x^2 + 1)(y^2 + 1) + (a + 1/a)(y - x)(xy + 1)) = 0.$$

- this family of curves defines a rational elliptic surface.
- anti-canonical class is preserved by the mapping, the linear system is not. More precisely the action changes  $k$  in  $-k$  (the mapping exchange fibers of the elliptic fibration)
- in such cases the conserved quantity becomes higher degree as  $(f/g)^\nu$  for some  $\nu > 1$ . In our case  $\nu = 2$

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Weyl group symmetries are related to the orthogonal complement of the space of initial conditions  $A_3^{(1)}$ . In order to see this we note that  $\text{rank Pic}(X) = \text{rank} \langle H_x, H_y, E_1, \dots, E_8 \rangle_{\mathbb{Z}} = 10$  Now we define:

$$\langle D \rangle = \bigoplus_{i=0}^3 \mathbb{Z} D_i$$

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we define elementary reflections:

$$w_i : \text{Pic}(x) \rightarrow \text{Pic}(X), w_i(\alpha_j) = \alpha_j - c_{ij}\alpha_i$$

$c_{ji} = 2(\alpha_j \cdot \alpha_i)/(\alpha_i \cdot \alpha_i)$ . One can easily see that  $c_{ij}$  is a Cartan matrix of  $D_5^{(1)}$ -type for the root lattice  $Q = \bigoplus_{i=0}^5 \mathbb{Z} \alpha_i$ . We introduce also permutation of roots:

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## Extended Weyl group

$$\widetilde{W}(D_5^{(1)}) = \langle w_0, w_1, \dots, w_5, \sigma_{10}, \sigma_{tot} \rangle$$

Mapping has the following decomposition in elementary reflections:

$$\phi_* = \sigma_{10} \sigma_{tot} \sigma_{10} \sigma_{tot} w_2 w_1 w_0 w_2 w_1 w_0 :$$

$$(\alpha_0, \alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5)$$

$$\mapsto (-\alpha_5, -\alpha_4, -\alpha_3, \alpha_2 + 2\alpha_3 + \alpha_4 + \alpha_5, \alpha_0, \alpha_1).$$

- hence,  $\phi^4$  is a translation of the extended Weyl group, while  $\phi$  itself is not, even though it is an automorphism of an elliptic surface.
- by deautonomisation and transformations (up to nonautonomous factors):

$$x_{4n-1} = 1/y_{4n-1}, \quad x_{4n} = y_{4n}, \quad x_{4n+1} = y_{4n+1}, \quad x_{4n+2} = 1/y_{4n+2}$$

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## The HKY case

Symmetric reduction of  $q$ - $P_V$  for  $q = -1$ .

$$\begin{aligned}\bar{x} &= \frac{(x-t)(x+t)}{y(x-1)} \\ \bar{y} &= x\end{aligned}\tag{3}$$

We define the phase space as a rational surface obtained by blow-ups from  $\mathbb{P}^1 \times \mathbb{P}^1$  at 8 points

$$\begin{aligned}P_1 : (x, y) &= (t, 0), & P_2 : (x, y) &= (-t, 0) \\ P_3 : (x, y) &= (0, t), & P_4 : (x, y) &= (0, -t) \\ P_5 : (x, Y) &= (1, 0), & P_6 : (X, y) &= (0, 1) \\ P_7 : (X, Y) &= (0, 0), & P_8 : (X, x/y) &= (\infty, 1)\end{aligned}$$

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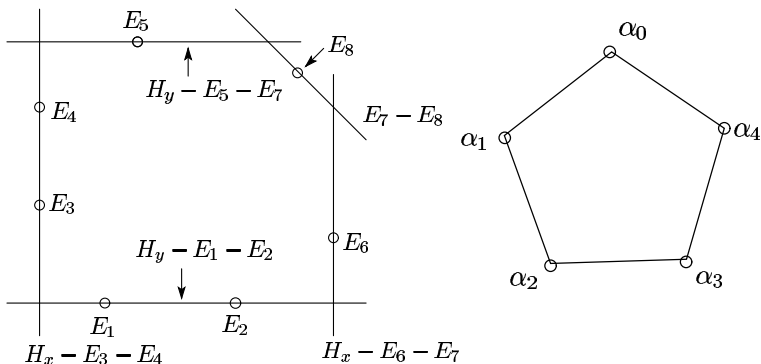


Figure: Space of initial conditions and the orthogonal complement of the same type  $A_4^{(1)}$

The anti-canonical divisor  $-K_X$  is decomposed as

$$-K_X = \sum_{i=0}^4 D_i:$$

$$D_0 = H_y - E_1 - E_2, \quad D_1 = H_x - E_6 - E_7$$

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The corresponding curve is  $xy = 0$  trivial.

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## Case (ii-2)

We consider the mapping  $\varphi$

$$\varphi : \begin{cases} \bar{x} = \frac{x(-ix(x+1) + y(bx+1))}{y(x(x-b) + iby(x-1))} \\ \bar{y} = \frac{x(x(x+1) + iby(x-1))}{b(x(x+1) - iy(x-1))} \end{cases} \quad (4)$$

The inverse of  $\varphi$  is

$$\varphi^{-1} : \begin{cases} \underline{x} = \frac{y(bxy - bx - by + 1)}{xy - x + by - 1} \\ \underline{y} = \frac{-iy(bxy - bx - by + 1)(bxy + x - by + 1)}{bx(xy - x - y - 1)(xy - x + by - 1)} \end{cases} \quad (5)$$

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The phase space is obtained by blow-ups from  $\mathbb{P}^1 \times \mathbb{P}^1$  at 8 points:

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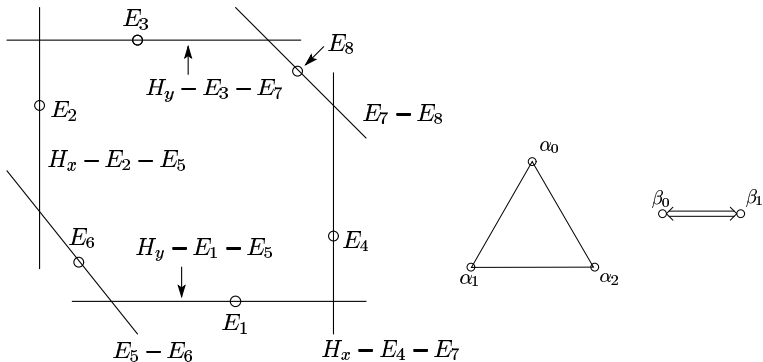


Figure: Space of initial conditions and orthogonal complement

The anti-canonical divisor consists of

$$D_0 = H_x - E_2 - E_5, \quad D_1 = E_5 - E_6, \quad D_2 = H_y - E_1 - E_5, \\ D_3 = H_x - E_4 - E_7, \quad D_4 = E_7 - E_8, \quad D_5 = H_y - E_3 - E_7$$

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Here  $\dim | -K_X| = 0$  and  $\dim | -2K_X| = 1$

$$\begin{aligned} 0 &= kf_0(x, y) - f_1(x, y) \\ | -2K_X| : \quad &= kx^2y^2 - \left( ix(x+1)^2 - i(x+i)(x^2-1)y \right. \\ &\quad \left. + b(x-1)^2y^2 \right) \left( -ix(y-1) + y(by-1) \right) \end{aligned}$$

and again we have exchanging of fibers:

$$k = \frac{f_1(x, y)}{f_0(x, y)} \rightarrow -k \Rightarrow k^2 = \left( \frac{f_1(x, y)}{f_0(x, y)} \right)^2$$

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